

07-11-01

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07/10/01  
jc962 U.S. PRO

Application. Trans  
PATENT

**UTILITY PATENT  
APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional*

*applications under 37 CFR 1.53(b))*

Attorney Docket No.

**A33809 - 068838.0101**

First Named Inventor

**Bruce H. Yaffe**

Express Mail Label No.

**EF321684175US**

Total Pages

**3**

jc962 U.S. PRO  
09/902360  
07/10/01

**BY EXPRESS MAIL – Label No. EF321684175US**

Commissioner for Patents

**Box Patent Application**

Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Yaffe et al. entitled "INTERNET-BASED CUSTOMER INFORMATION SYSTEM AND METHOD" which includes:

☒ Specification [10] Total Pages

☒ Claims [5] Total Pages

☒ Abstract [1] Total Pages

☒ Drawing(s) [4] Total Sheets

\_formal  
\_informal

☒ Combined Declaration and Power of Attorney \_6\_ Total Pages

☒ Newly executed (original or copy)

☐ Copy from a prior application (for continuation/divisional only – **must be filed to avoid surcharge for late filing**)

If a continuing application, check appropriate box:

☐ Continuation ☐ Divisional

☐ Continuation-In-Part of prior application No.

☐ Amend the specification by inserting, before the first line, the following sentence:

"This is a ☐ continuation ☐ divisional ☐ continuation-in-part of copending application Serial No. \_\_\_\_\_ filed \_\_\_\_\_."

☐ An Assignment of the invention to \_\_\_\_\_

☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.11 is included.

☐ will follow.

☐ has been filed in the prior application

☒ Small Entity Status is hereby claimed

NY02:335241.1

Attorney Docket No. A33809 - 068838.0101

☐ Information Disclosure Statement (IDS) PTO-1449

☐ Copies of IDS Citations

☐ Request and Certification for Non-publication under 35 U.S.C. 122(b)(2)(B)(i) **ENCLOSED.**

☐ Request to Rescind previous Non-publication Request under 35 U.S.C. 122(b)(2)(B)(ii) **ENCLOSED.**

☐ Request for Redacted Publication under 35 U.S.C. 122(b)(2)(B)(v) and the Redacted Publication **ENCLOSED.**

☐ Request for Early Publication under 35 U.S.C. 122(b)(1)(A) **ENCLOSED.**

☐ Preliminary Amendment

☒ Return Receipt Postcard

☐ Other: .

☐ Cancel in this application original claims of the prior application before calculating the filing fee.

The Fee has been calculated as shown below:

FOR	Small Entity				OR	Other than a small entity			
	(Col. 1) No. Filed	(Col. 2) No. Extra	Rate	Fee		Rate		Fee	
Basic Fee				355.00				710.00	
Total Claims	24	- 20 = 4	X 9	= 36.00	X	18	=	.00	
Ind. Claims	4	- 3 = 1	X 40	= 40.00	X	80	=	.00	
Multiple Dependent Claim			+ 135	= .00	+	270	=	.00	
			TOTAL	431.00				0.00	

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Attorney Docket No. A33809 - 068838.0101

Fee payment being made:

<input checked="" type="checkbox"/>	Enclosed		
<input checked="" type="checkbox"/>	Basic Filing Fee	\$	431.00
<input type="checkbox"/>	Recording Assignment : 37 CFR 1.21(h)	\$	.00
<input type="checkbox"/>	Publication Fees	\$	0.00
	Total Fees Enclosed:		0.00
<input checked="" type="checkbox"/>	A check in the amount of <u>\$431.00</u> to cover filing fee and assignment recordation fee is enclosed.		

Priority

- ☐ Priority of application Country , Appln No. , filed .
- ☐ Certified Copy of Priority Document Country , Appln. No. , Filed .
- ☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N .
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required un 37 CFR 1.16, 1.17 and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

BAKERBOTTTS L.L.P.

BY:   
Neil P. Sirota

Enclosures

PTO Registration No.: 38,306